

## United States Patent and Trademark Office

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### NOTICE OF ALLOWANCE AND FEE(S) DUE

21186 7590 01/27/2005 SCHWEGMAN, LUNDBERG, WOESSNER & KLUTH, P.A. P.O. BOX 2938 MINNEAPOLIS, MN 55402 EXAMINER

MULLEN, KRISTEN DROESCH

ART UNIT PAPER NUMBER

3762 DATE MAILED: 01/27/2005

APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
09/991,522	11/20/2001	Jeffrey E. Stahmann	CP1279.400U31	3079

TITLE OF INVENTION: TRIGGERED STORAGE OF DIAGNOSTIC DATA ASSOCIATED WITH COMPROMISED RESYNCHRONIZATION THERAPY

APPLN. TYPE	SMALL ENTITY	issue fee	PUBLICATION FEE	TOTAL FEE(S) DUE	DATE DUE
nonprovisional	NO	\$1400	\$300	\$1700	04/27/2005

THE APPLICATION IDENTIFIED ABOVE HAS BEEN EXAMINED AND IS ALLOWED FOR ISSUANCE AS A PATENT. PROSECUTION ON THE MERITS IS CLOSED. THIS NOTICE OF ALLOWANCE IS NOT A GRANT OF PATENT RIGHTS. THIS APPLICATION IS SUBJECT TO WITHDRAWAL FROM ISSUE AT THE INITIATIVE OF THE OFFICE OR UPON PETITION BY THE APPLICANT. SEE 37 CFR 1.313 AND MPEP 1308.

THE ISSUE FEE AND PUBLICATION FEE (IF REQUIRED) MUST BE PAID WITHIN THREE MONTHS FROM THE MAILING DATE OF THIS NOTICE OR THIS APPLICATION SHALL BE REGARDED AS ABANDONED. THIS STATUTORY PERIOD CANNOT BE EXTENDED. SEE 35 U.S.C. 151. THE ISSUE FEE DUE INDICATED ABOVE REFLECTS A CREDIT FOR ANY PREVIOUSLY PAID ISSUE FEE APPLIED IN THIS APPLICATION. THE PTOL-85B (OR AN EQUIVALENT) MUST BE RETURNED WITHIN THIS PERIOD EVEN IF NO FEE IS DUE OR THE APPLICATION WILL BE REGARDED AS ABANDONED.

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I. Revie ... ENTITY status shown above.

If the SMALL ENTITY is shown as YES, verify your current SMALL ENTITY status:

A. If the status is the same, pay the TOTAL FEE(S) DUE shown above.

B. If the status above is to be removed, check box 5b on Part B - Fee(s) Transmittal and pay the PUBLICATION FEE (if required) and twice the amount of the ISSUE FEE shown above, or

If the SMALL ENTITY is shown as NO:

A. Pay TOTAL FEE(S) DUE shown above, or

B. If applicant claimed SMALL ENTITY status before, or is now claiming SMALL ENTITY status, check box 5a on Part B - Fee(s) Transmittal and pay the PUBLICATION FEE (if required) and 1/2 the ISSUE FEE shown above.

II. PART B - FEE(S) TRANSMITTAL should be completed and returned to the United States Patent and Trademark Office (USPTO) with your ISSUE FEE and PUBLICATION FEE (if required). Even if the fee(s) have already been paid, Part B - Fee(s) Transmittal should be completed and returned. If you are charging the fee(s) to your deposit account, section "4b" of Part B - Fee(s) Transmittal should be completed and an extra copy of the form should be submitted.

III. All communications regarding this application must give the application number. Please direct all communications prior to issuance to Mail Stop ISSUE FEE unless advised to the contrary.

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JAN 3 1 2005

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Mail Stop ISSUE FEE Commissioner for Patents P.O. Box 1450 Alexandria, Virginia 22313-1450

or <u>Fax</u> (703) 746-4000

INSTRUCTIONS: This form should be used for transmitting the ISSUE FEE and PUBLICATION FEE (if required). Blocks 1 through 5 should be completed where ap in m

maintenance fee notifications.  CURRENT CORRESPONDENCE ADDRESS (Note: Use Block 1 for any change of address)  21186 7590 01/27/2005  SCHWEGMAN, LUNDBERG, WOESSNER & KLUTH, P.A. P.O. BOX 2938  MINNEAPOLIS, MN 55402				Note: A certificate of mailing can only be used for domestic mailings of the Fee(s) Transmittal. This certificate cannot be used for any other accompanying papers. Each additional paper, such as an assignment or formal drawing, mus have its own certificate of mailing or transmission.			
				C.		mission	
				I hereby certify that: States Postal Service addressed to the Ma	ertificate of Mailing or Trans this Fee(s) Transmittal is being with sufficient postage for fire ill Stop ISSUE FEE address PTO (703) 746-4000, on the d	g deposited with the United st class mail in an envelope above, or being facsimile late indicated below.	
				transmitted to the OS	110 (100) 740 1000, 011 1110	(Depositor's name)	
						(Signature)	
						(Date)	
APPLICATION NO.	FILING DATE	F	IRST NAMED INV	ENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.	
09/991,522	11/20/2001		Jeffrey E. Stahn	nann	279.400US1	3079	
TITLE OF INVENTION: T	RIGGERED STORAGE OF	DIAGNOSTIC DA	ATA ASSOCIATE	D WITH COMPROMIS	SED RESYNCHRONIZATION	N THERAPY	
APPLN. TYPE	SMALL ENTITY	ISSUE FE	E	PUBLICATION FEE	TOTAL FEE(S) DUE	DATE DUE	
nonprovisional	NO	\$1400		\$300	\$1700	04/27/2005	
EXAM	IINER	ART UN	τ	CLASS-SUBCLASS	7		
MULLEN, KRIS	TEN DROESCH	3762		607-027000			
"Fee Address" indica PTO/SB/47; Rev 03-02 Number is required.		ation form to of a Customer E PRINTED ON T clow, no assignee of this form is NO	or agents OR, a  (2) the name of registered attor 2 registered pat listed, no name  THE PATENT (pri data will appear of a substitute for fi	a single firm (having a ney or agent) and the na ent attorneys or agents. will be printed.	s a member a 2	document has been filed for	
	e assignee category or catego			<u> </u>	Corporation or other private g	roup entity Government	
4a. The following fec(s) are	enclosed:	<b>4</b> b	. Payment of Fee(:	s): e amount of the fee(s) is	enclosed.		
Issue Fee	small entity discount permitt	ed)	Payment by credit card. Form PTO-2038 is attached.				
Photography of the Control of the Co			The Director is hereby authorized by charge the required fee(s), or credit any overpayment, Deposit Account Number(enclose an extra copy of this form).				
a Applicant claims S	(from status indicated above MALL ENTITY status. See is requested to apply the Iss sublication Fee (if required)	37 CFR 1.27.	☐ b. Applicant i	s no longer claiming SM	IALL ENTITY status. See 37 ( usly paid issue fee to the applic egistered attorney or agent; or	CFR 1.27(g)(2).	
		Authorized Signature					
Authorized Signature					ion No		

submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you regule to commerce this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, Virginia 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, Virginia 22313-1450.

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APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.		
09/991,522	09/991,522 11/20/2001 Jeffrey E. Stahmann		279.400US1	3079		
21186 7590 01/27/2005			EXAM	INER		
SCHWEGMA	SCHWEGMAN, LUNDBERG, WOESSNER & KLUTH, P.A.			MULLEN, KRISTEN DROESCH		
P.O. BOX 2938			ART UNIT	PAPER NUMBER		
MINNEAPOLIS, MN 55402			3762			
			DATE MAIL ED: 01/27/200	<b>s</b>		

# Determination of Patent Term Adjustment under 35 U.S.C. 154 (b)

(application filed on or after May 29, 2000)

The Patent Term Adjustment to date is 539 day(s). If the issue fee is paid on the date that is three months after the mailing date of this notice and the patent issues on the Tuesday before the date that is 28 weeks (six and a half months) after the mailing date of this notice, the Patent Term Adjustment will be 539 day(s).

If a Continued Prosecution Application (CPA) was filed in the above-identified application, the filing date that determines Patent Term Adjustment is the filing date of the most recent CPA.

Applicant will be able to obtain more detailed information by accessing the Patent Application Information Retrieval (PAIR) WEB site (http://pair.uspto.gov).

Any questions regarding the Patent Term Extension or Adjustment determination should be directed to the Office of Patent Legal Administration at (571) 272-7702. Questions relating to issue and publication fee payments should be directed to the Customer Service Center of the Office of Patent Publication at (703) 305-8283.

•	Application No.	Applicant(s)	ļ
• •	09/991,522	STAHMANN ET AL	
Notice of Allowability	Examiner	Art Unit	
	Kristen Mullen	3762	
The MAILING DATE of this communication appeal claims being allowable, PROSECUTION ON THE MERITS IS nerewith (or previously mailed), a Notice of Allowance (PTOL-85) NOTICE OF ALLOWABILITY IS NOT A GRANT OF PATENT Report to the Office or upon petition by the applicant. See 37 CFR 1.313	ears on the cover sheet with the co (OR REMAINS) CLOSED in this app or other appropriate communication IGHTS. This application is subject to	olication. If not includ will be mailed in due	ea course. THIS
1. This communication is responsive to 10/15/04(response).			
2. X The allowed claim(s) is/are 1-34.			
<ol> <li>The drawings filed on <u>20 November 2001</u> are accepted by</li> </ol>			
<ol> <li>Acknowledgment is made of a claim for foreign priority use</li> <li>a) ☐ All b) ☐ Some* c) ☐ None of the:</li> <li>1. ☐ Certified copies of the priority documents have</li> </ol>	e been received.		
<ol><li>Certified copies of the priority documents have</li></ol>	e been received in Application No		ation from the
3. Copies of the certified copies of the priority do	cuments have been received in this	national stage applica	auon nom ute
International Bureau (PCT Rule 17.2(a)).			
* Certified copies not received:			auiromonte
Applicant has THREE MONTHS FROM THE "MAILING DATE" noted below. Failure to timely comply will result in ABANDONN THIS THREE-MONTH PERIOD IS NOT EXTENDABLE.	of this communication to file a reply MENT of this application.	combiying with the re	equirements
5. A SUBSTITUTE OATH OR DECLARATION must be subm INFORMAL PATENT APPLICATION (PTO-152) which giv	nitted. Note the attached EXAMINER res reason(s) why the oath or declarate	R'S AMENDMENT or lation is deficient.	NOTICE OF
6. CORRECTED DRAWINGS (as "replacement sheets") mu	st be submitted.		
(a) I including changes required by the Notice of Draftsper	son's Patent Drawing Review ( PTO	-948) attached	
1) 🔲 hereto or 2) 🔲 to Paper No./Mail Date		Office setion of	
(b) ☐ including changes required by the attached Examiner Paper No./Mail Date	•		
Identifying indicia such as the application number (see 37 CFR each sheet, Replacement sheet(s) should be labeled as such in	the header according to a continue	(-).	
7. DEPOSIT OF and/or INFORMATION about the depretate the deposition of the depositio	OSIT OF BIOLOGICAL MATERIAL FFOR THE DEPOSIT OF BIOLOGIC	must be submitted. CAL MATERIAL.	Note the
Attachment(s)	5. Notice of Informal	Patent Application (P	TO-152)
<ol> <li>Notice of References Cited (PTO-892)</li> <li>Notice of Draftperson's Patent Drawing Review (PTO-948)</li> </ol>	—		-
	Paper No./Mail D	ate	
3. ☑ Information Disclosure Statements (PTO-1449 or PTO/SB Paper No./Mail Date 10/15/04			N
4. Examiner's Comment Regarding Requirement for Deposit		nent of Reasons for A	liowance
of Biological Material	9. Other	2011/1	
Kriste Mullen	Lon	THE SCHAETZ	
1= -	•	Mary Dunilla	7 3

PTC/SB/084(10-01)
Appraise) for use Brough 10/31/2002, QAG 651-0011
and 6 Trademark Office U.S. GEPARTMENT OF COMMERCE

Substitute for form 1449APTO	Under the Preprint Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid CAIS coroni number.  Compilete if Known			
INFORMATION DISCLOSURE	Application Number	09/991,522		
STATEMENT BY APPLICANT SUSSESSESSESSESSESSESSESSESSESSESSESSESS	Filing Date	November 20, 2001		
	First Named Inventor	Stahmann, Jeffrey		
( CCT 1 8 2004 3)	Group Art Unit	3762		
	Examiner Name	Droesch, Kristen		
Sheet 1 of 1	Attorney Docket No: 2	279.400US1		

		US P.	ATENT DOCUMENT			
Examiner Initial *	USP Document Number	Publication Date	Name of Patentee or Applicant of cited Document	Class	Subclass	Filing Date if Appropriate
DM	US- 2002/0016550 A1	02/07/2002	Sweeney, Robert J., et al.	600	515	05/07/2001
	US- 2002/0120306 A1	08/29/2002	Zhu, Qingsheng, et al.	607	25	12/28/2001
	US- 2002/0193847 A1	12/19/2002	Daum, Douglas R., et al.	607	60	()8/08/2002
1/	US-5,861,013	01/19/1999	Peck, B. C., et al.	607	28	04/29/1997
<del>A</del>	US-6,272,377	08/07/2001	Sweeney, R. J., et al.	600	515	10/01/1999
FDM	US-6,678,547	01/13/2004	Carlson, Gerrard M., et al.	600	515	03/08/2001

		FOREIGN PATENT	DOCUMENTS			
Examiner Initials*	Foreign Document No	Publication Date	Name of Patentee or Applicant of cited Document	Class	Subclass	T²

	OTHER	R DOCUMENTS - NON PATENT LITERATURE DOCUMENTS	
Examiner Initials*	Cite No 1	include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the tem (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-lasue number(s), nublisher, city and/or country where published.	T*
KDM	X	DAUM, DOUGLAS R., "IMPLANTABLE MEDICAL DEVICE WITH VOICE RESPONDING AND RECORDING CAPACITY", Application Serial No. 09/421,746, Date filed October 20, 1999 (now abandoned), Attorney Docket No. 270 1931 51, 13 pgs.	
KDM	X	DYJACH, JOHN A., et al., "METHOD FOR EXCLUSION OF ECTOPIC EVENTS FROM HEART RATE VARIABILITY METRICS", Application Serial No. 10/728 124 Filed December 4, 2003, Attorney Docket No. 279.682US1, 21 pgs.	
KDM	X	DYJACH, JOHN A., "TRENDED MEASUREMENT OF CARDIAC RESYNCHRONIZATION THERAPY", Application Serial No. 10/730,760, Date Filed December 8, 2003, Attorney Docket No. 279.663US1, 54 pgs.	

EXAMINER KLISTE MANDOS	DATE CONSIDERED 12105
Substitute Disableure State  EXAMINER: Initial if reference considered, whether or not obtation is in conformance with MPD 609. Draw line	ament Form (PTC-1445) brough dustion if not in conformance and not considered, include copy of this form with next communication to brough dustion about mark here it English luminate Translation to stracted